

# Comparison of the Swelling Behavior of Poly(*N*-Isopropylacrylamide) and Poly(*N*-Vinylisobutyramide) Thin Films under Water Vapor Exposure

Morgan P. Le Dû, Julija Reitenbach, David P. Kosbahn, Lukas V. Spanier, Robert Cubitt, Cristiane Henschel, André Laschewsky, Christine M. Papadakis, and Peter Müller-Buschbaum\*



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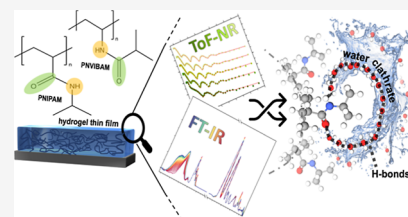


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**ABSTRACT:** Poly(*N*-isopropylacrylamide) (PNIPAM) is known for exhibiting lower critical solution temperature behavior in water. A structural isomer of PNIPAM, the likewise LCST-type polymer poly(*N*-vinylisobutyramide) (PNVIBAM), is compared to PNIPAM in a thin film with respect to their swelling behaviors and water uptake kinetics in a humid atmosphere. Based on spectral reflectance, Fourier-transform infrared (FT-IR) spectroscopy, and time-of-flight neutron reflectometry, the amount and kinetics of uptaken water and its distribution inside the films correlate with molecular changes. It is observed that PNVIBAM swells less than PNIPAM. The FT-IR signals reveal a lower water affinity for PNVIBAM than for PNIPAM and larger hydrophobic clathrates in PNVIBAM, which hinders the introduction of water. Additionally, N<sub>2</sub>-dried PNIPAM films still contain primary water, whereas PNVIBAM can be fully dried. The first step of water uptake of the main layer describes a filling of the free volume, reaching a water content of 3.8% in PNVIBAM and 6% in PNIPAM.



## INTRODUCTION

Stimuli-responsive polymers represent a diverse class of polymers with great potential, as these polymers exhibit the remarkable ability to respond strongly to external stimuli.<sup>1–5</sup> They undergo conformational changes triggered by external factors, including alterations in pH,<sup>6</sup> exposure to light,<sup>7</sup> application of electric fields,<sup>8</sup> changes in temperature<sup>9</sup> and in pressure,<sup>10</sup> or chemical modifications of the surrounding environment.<sup>11–13</sup> In particular, when applied as thin films, the intrinsic responsiveness enables stimuli-responsive polymers to serve as versatile building blocks in fields ranging from drug delivery systems and biosensors<sup>14–17</sup> to actuators<sup>18</sup> and responsive coatings.<sup>19</sup> Among them, thermoresponsive polymers offer extensive options as the temperature stimulus is easily accessible and noninvasive. Such polymers undergo a conformational change and a change of solvation state in response to temperature variation with two possible scenarios.<sup>20</sup> On the one hand, lower critical solution temperature (LCST)-type polymers can be identified as becoming insoluble upon heating. On the other hand, upper critical solution temperature-type polymers become soluble with increasing temperature.<sup>9,21</sup> Both types of thermoresponsive polymers can be engineered to adopt hydrogel states, wherein entangled polymer chains create a hydrophilic three-dimensional network through physical or chemical cross-links.<sup>22,23</sup> Hydrogels are characterized by their ability to retain water molecules within their matrix while maintaining a soft solid-like morphology.<sup>13,24–28</sup> Combining the thermal response with their water absorption capacity, thermoresponsive hydrogels are the

perfect candidates for a controlled drug delivery system.<sup>15</sup> With an increase in temperature, they can release the desired small molecules formerly incorporated within their matrix.

Poly(*N*-isopropylacrylamide) (PNIPAM) is one of the most outstanding thermoresponsive polymers and has been studied for its sharp and reversible phase transition at around 30 °C in aqueous solutions.<sup>29,30</sup> The conformational change experienced by PNIPAM is commonly described as a “coil-to-globule” transition. This transition involves a change from the coil-like and expanded shape of the polymer chains below the phase transition temperature, which facilitates the polymer’s hydration, to a globular “collapsed” morphology where most water molecules are expelled from the polymer’s solvation shell. This behavior of PNIPAM arises from the delicate balance between its hydrophobic moieties, such as the isopropyl group, methylene groups of the polymer backbone, and the amide group acting as a hydrophilic unit.<sup>12,31,32</sup>

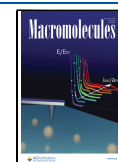
The large number of fundamental studies makes PNIPAM a well-developed model polymer. However, the acrylamide-based monomers used for the synthesis of NIPAM-based polymers pose toxicity risks,<sup>33</sup> and their intensive use has

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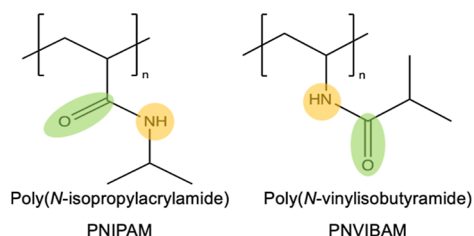
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brought substantial traces of acrylamides in food and water wastes.<sup>34–36</sup> Hence, PNIPAM alternatives are under investigation, such as *N*-vinylalkylamide-based monomers, which are conformational isomers of their *N*-alkylacrylamide analogues, and appear to be a suitable alternative due to their good biological compatibility.<sup>37–39</sup> In particular, poly(*N*-vinylisobutyramide) (PNVIBAM) is selected for investigation in this study as an isomer of PNIPAM (Figure 1),<sup>10,40–44</sup>



**Figure 1.** Chemical structures of the structural isomers ( $C_6H_{11}NO$ ) PNIPAM (left) and PNVIBAM (right) with the amide functional groups and their relative positions to the polymer backbones highlighted.

wherein the amide linkage relative to the polymer backbone is reversed. Specifically, in the case of PNVIBAM, the amide's  $-NH$  group is linked to the polymer backbone, whereas in PNIPAM, the carbonyl  $-C=O$  group is attached to the backbone. Similar to PNIPAM, PNVIBAM is also water-soluble and features LCST-type II behavior,<sup>41,45</sup> while other structural isomers of PNIPAM (chemical formula  $(C_6H_{11}NO)_n$ ) also containing secondary amide groups, such as poly(leucine) or poly(caprolactam) (nylon-6), are completely water-insoluble. Notably, PNVIBAM undergoes a coil-to-globule transition at approximately 39 °C in water.<sup>41,46,47</sup> This characteristic renders PNVIBAM particularly appealing for medical applications, as its transition temperature is closer to the human body temperature (ca. 37 °C) compared to the LCST of PNIPAM of about 30 °C.<sup>48</sup> Furthermore, the LCST of PNVIBAM falls within the range of an elevated body temperature, making it an even more attractive option for controlled drug delivery and smart coating applications, e.g., in the case of infections or inflammations where PNVIBAM micelles or/and skin-coating can collapse and release desired medicine when the body temperature reaches the polymer's phase transition temperature. Yet, PNVIBAM has received significantly less attention than PNIPAM.

The present study focuses on PNVIBAM thin films, which have been virtually unexplored.<sup>40</sup> In the thin film geometry, the inherent high polymer concentration, the two-dimensional confinement of the polymer chains within the film structure, as well as the presence of interfaces with the substrate and the surrounding atmosphere impose additional differences from studies of classical volume samples. We compare the swelling behavior of PNVIBAM thin films in a water vapor atmosphere with that of PNIPAM thin films, which have already been studied before.<sup>49,50</sup> We investigate the solvation process of PNVIBAM and compare it to the one of the well-known PNIPAM at multiple levels ranging from mesoscopic to molecular scale. Spectral reflectance (SR) is used to examine the in situ thickness evolution of the films over time under humid conditions at the mesoscopic level. At the molecular level, the solvation of the films is explored using in situ Fourier-transform infrared (FT-IR) spectroscopy to understand the hydration mechanisms of PNVIBAM in relation to its isomer

PNIPAM. Relevant information about thin film geometry is gained with time-of-flight neutron reflectometry (ToF-NR), enabling an in-depth description of the inner structure of these films without damaging the samples. In addition, neutron characterizations offer an enhanced contrast when heavy water is used ( $D_2O$ ). This approach enables the study of the evolution of the solvent distribution within the films during the swelling process.

## EXPERIMENTAL SECTION

**Materials and Sample Preparation.** *Materials.* Silicon wafers (p/Bor, <100>,  $d = 525 \pm 25 \mu\text{m}$ ,  $10\text{--}20 \Omega \times \text{cm}$ ) from Si-Mat, Kaufering, Germany, were used as substrates for the film preparation. For the acid bath cleaning of the substrates, we used deionized water, sulfuric acid ( $H_2SO_4$ , 95–98%, Aldrich), and hydrogen peroxide ( $H_2O_2$ , 30% aq., Roth) as received. All of the water used in this study was deionized to a resistivity of  $18.2 \text{ M}\Omega \times \text{cm}$  using a Milli-Q Plus purification system (Merck Millipore, Burlington, U.S.A.). PNIPAM solutions were prepared from poly(*N*-isopropylacrylamide) from Sigma-Aldrich (PNIPAM,  $M_n \approx 40 \text{ kg/mol}$ ,  $T_{mp} = 96 \text{ }^\circ\text{C}$ ) and stored in the dark at 4 °C. PNVIBAM solutions were prepared from PNVIBAM synthesized by free radical polymerization, resulting in a number-average molar mass of  $M_n = 43 \text{ kg/mol}$  (more details can be found in the Supporting Information). The number-average molar mass of both isomers is considered to be similar and, therefore, suitable for this comparative study. 1,4-dioxane ( $\geq 99.5\%$ , Aldrich) was used as received as a solvent for the polymer solutions. Deionized light water (DI  $H_2O$ ) was utilized for vapor exposure. In the case of vapor exposure during ToF-NR characterization, heavy water ( $D_2O$ , 99.95%, Deutero GmbH) was used.

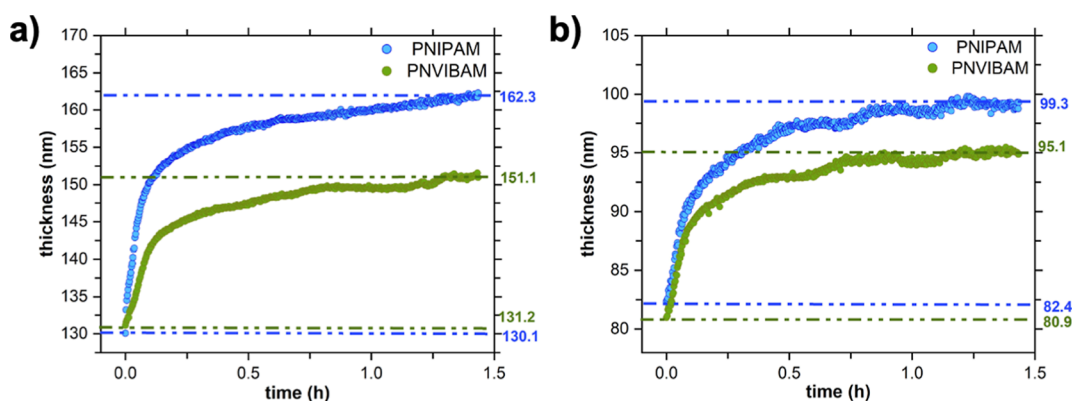
*Sample Preparation for SR and ToF-NR.* Solutions of the PNIPAM and PNVIBAM homopolymers were prepared in 1,4-dioxane at various concentrations (Supporting Information). The polymer solutions were placed on a lab shaker for 24 h to ensure homogenized dissolution. Next, the solutions were filtered through hydrophobic polytetrafluoroethylene membrane filters ( $0.45 \mu\text{m}$  pore size, Merck Millipore, Burlington, U.S.A.) to remove insoluble impurities. Precut silicon wafers were submerged in an acid bath (54 mL DI water, 84 mL  $H_2O_2$ , and 198 mL  $H_2SO_4$ ) at 80 °C for 30 min, carefully rinsed with DI water, dried using  $N_2$ , and treated with oxygen plasma (240 W) at 0.4 mbar for 20 min. Immediately afterward, thin polymer films were deposited via spin-coating (2500 rpm, 60 s,  $V = 0.2 \text{ mL}$  for SR samples, and  $V = 3.0 \text{ mL}$  for ToF-NR samples). The obtained samples were stored in a desiccator.

*Sample Preparation for FT-IR Spectroscopy.* The polymer solutions of PNIPAM and PNVIBAM homopolymers in 1,4-dioxane were prepared with a concentration of 14 mg/mL. The precut silicon substrates were treated with oxygen plasma (240 W) at 0.4 mbar for 20 min. Next, 70.0  $\mu\text{L}$  of the polymer solution was drop-cast onto a substrate. After being dried for 1 h, the resulting samples were placed in a custom-made copper sample cell for FT-IR characterization.

## METHODS

**Spectral Reflectance Measurements.** SR measurements were performed using a custom-made sample environment described in the Supporting Information and a Filmetrics F20 Thin-Film Analyzer (KLA, Milpitas, U.S.A.) with a halogen lamp light source ( $\lambda = 380\text{--}1100 \text{ nm}$ ) and a spectrometer.

**In Situ Fourier-Transform Infrared Spectroscopy.** FT-IR spectra were collected by using an Equinox 55 FT-IR spectrometer (Bruker Optik GmbH, Rosenheim, Germany). A detailed description is provided in the Supporting Information. The temperature of the sample environment was precisely controlled by using a circulating thermal bath and maintained at 19 °C throughout the experiment. First, the samples underwent a drying process under  $N_2$  for a duration of 1 h, following which an initial spectrum was recorded. Subsequently, the humidity conditions were established by switching off the  $N_2$  flow, and the water vapors were introduced into the sample



**Figure 2.** Thickness evolution over time of PNIPAM and PNVIBAM films recorded by SR for films with an initial thickness of (a)  $\sim 130$  nm and (b)  $\sim 81$  nm.

environment through a connecting hole (approximately 1 mm in diameter), leading to the reservoir containing 0.4 mL of DI water.

**Time-of-Flight Neutron Reflectometry.** The ToF-NR measurements were carried out at instrument D17 at the Institut Laue-Langevin (ILL) site in Grenoble, France.<sup>51,52</sup> More details about the measurement settings and the data analysis can be found in the [Supporting Information](#). The scattering length densities (SLD) for both isomers were calculated and found to be about  $0.810 \times 10^{-6} \text{ \AA}^{-2}$ . The SLD values of the substrate were maintained at constant values of  $2.065 \times 10^{-6} \text{ \AA}^{-2}$  for the Si substrate and  $3.25 \times 10^{-6} \text{ \AA}^{-2}$  for the  $\text{SiO}_x$  native oxide layer on the surface of the substrate.<sup>12</sup> Regarding the SLD of the solvents involved during the experiments, the values  $6.335 \times 10^{-6} \text{ \AA}^{-2}$  and  $-0.561 \times 10^{-6} \text{ \AA}^{-2}$  were calculated for  $\text{D}_2\text{O}$  and  $\text{H}_2\text{O}$ , respectively, and used as a reference in the fit procedure.<sup>53</sup>

## RESULTS AND DISCUSSION

**Film Swelling.** To compare the swelling behavior of PNVIBAM thin films with respect to PNIPAM thin films, polymer films of both isomers are spin-coated by using solutions with controlled polymer concentrations to obtain equivalent initial thicknesses. We prepare two sets of films (PNVIBAM and PNIPAM), which differ in their initial film thickness, namely, thick ( $\sim 130$  nm) and thin ( $\sim 81$  nm) films. Subsequently, as described in the [Supporting Information](#), each film is placed in a custom-made chamber connected to a gas flow setup to enable humidity control of the sample environment. The whole SR experiment is performed at a constant temperature of ca.  $19^\circ\text{C}$ , maintained and monitored by a circulating water bath. All films were dried under  $\text{N}_2$  for 1 h before being exposed to a continuous flow of 1 L/min of DI  $\text{H}_2\text{O}$  vapor. The thickness evolution is probed with SR with a time resolution of 10 s (see [Figure 2](#)). The immediate thickness increase observed in [Figure 2](#) is attributed to water sorption of the films. The absorption of surrounding water causes the films to expand vertically to the substrate. The thick films reach final thicknesses of  $162.3 \pm 0.1$  nm for PNIPAM and  $151.1 \pm 0.1$  nm for PNVIBAM, respectively ([Figure 2a](#)). The thin films swell to final thicknesses of  $99.3 \pm 0.1$  nm for PNIPAM and  $95.1 \pm 0.1$  nm for PNVIBAM, respectively ([Figure 2a](#)). Notably, the PNIPAM films reach higher thicknesses for both sets of films. The swelling curves are further normalized to exclude the impact of the slight differences in the initial thicknesses (see [Figure S1](#)), which shows the swelling ratio  $d(t)/d_{\text{ini}}$ , where  $d(t)$  is the thickness measured at a given time  $t$  of the experiment and  $d_{\text{ini}}$  is the initial thickness recorded after exposing the sample to a  $\text{N}_2$  atmosphere for 1 h. Hence, PNIPAM has a higher swelling

capacity and higher water storage ability than PNVIBAM in the studied film thickness regime despite the lower LCST of PNIPAM. The difference in thickness in the fully swollen state between both isomers appears more pronounced in the thicker films depicted in [Figure 2a](#). This observation suggests a correlation with the amount of polymer deposited on the substrate. The sterical interactions in PNIPAM and PNVIBAM films seem to affect the water sorption process, resulting in different water uptakes.

**Molecular Scale Investigation.** FT-IR spectroscopy is applied to probe the molecular interactions between the polymers and water during the swelling process. These measurements are performed under a constant temperature of  $19^\circ\text{C}$  and after 1 h under a  $\text{N}_2$  stream. The observed mid-infrared absorption bands of the PNIPAM film in the dry state are shown in [Figure S2a](#). The characteristic peaks of the amide functional group are known to be the stretching absorption band of the intermolecular  $-\text{N}-\text{H}$  bond, sometimes referred to as amide A, observed here at  $\nu(\text{N}-\text{H}) = 3300 \text{ cm}^{-1}$ , and the amide I band related to stretching vibrations of the  $-\text{C}=\text{O}$  bond found at  $\nu(\text{C}=\text{O}) = 1650 \text{ cm}^{-1}$ .<sup>54,55</sup> The other infrared contribution of the amide group is observed at  $1540 \text{ cm}^{-1}$ , known as the amide II band, which is a coupled vibrational mode of the stretching vibrations of the  $-\text{C}-\text{N}$  bond and the bending vibrations of the  $-\text{N}-\text{H}$  bond.<sup>54,55</sup> In addition, the symmetric and asymmetric stretching bands of the methyl  $-\text{C}-\text{H}$  bond from the isopropyl group are identified at  $\nu_{\text{sym}}(\text{C}-\text{H}_3) = 2870 \text{ cm}^{-1}$  and  $\nu_{\text{as}}(\text{C}-\text{H}_3) = 2970 \text{ cm}^{-1}$ .<sup>54,55</sup> The methylene moiety of the polymer backbone of the polymer contributes to the spectrum with the asymmetric vibrations of its  $-\text{C}-\text{H}$  bond at  $\nu_{\text{as}}(\text{C}-\text{H}_2) = 2930 \text{ cm}^{-1}$  and the asymmetric bending vibrations of the  $-\text{C}-\text{H}$  at  $\delta_{\text{as}}(\text{C}-\text{H}_2) = 1460 \text{ cm}^{-1}$ .<sup>54,55</sup> The broad absorption peak found at  $3500 \text{ cm}^{-1}$  is attributed to the intermolecular  $-\text{O}-\text{H}$  bonded stretching vibrations,  $\nu(\text{O}-\text{H})$ .<sup>54,55</sup> The presence of water in the FT-IR spectrum of PNIPAM after the sample had dried is a known feature of this polymer hydrogel. Indeed, PNIPAM retains water traces intermolecularly bound to the polymer. This type of water, sometimes called primary water, is attributed to strong H-bond interactions between PNIPAM and  $\text{H}_2\text{O}$ .<sup>56-58</sup> [Figure S2b](#) shows the IR spectrum for PNVIBAM recorded under the same conditions as the PNIPAM sample, namely, after drying. Interestingly, this spectrum does not exhibit the characteristic water absorption peak located at  $3500 \text{ cm}^{-1}$ . Thus, PNVIBAM does not retain water in its structure after drying of the sample, i.e., PNVIBAM

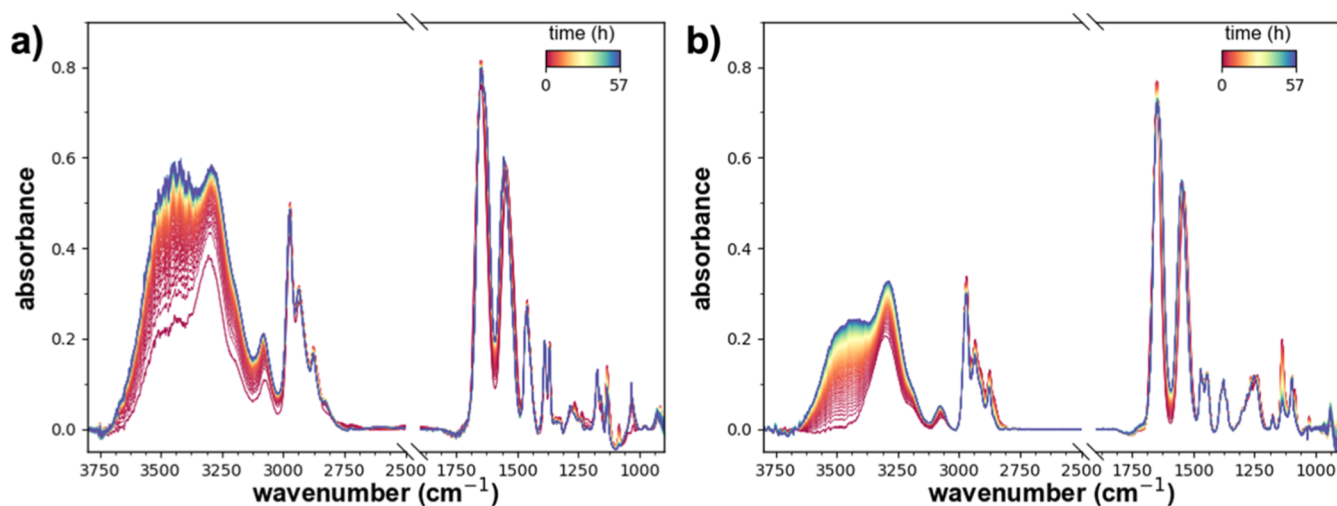


Figure 3. Temporal evolution of the FT-IR spectra during exposure to water vapor atmosphere for (a) a PNIPAM and (b) a PNVIBAM film.

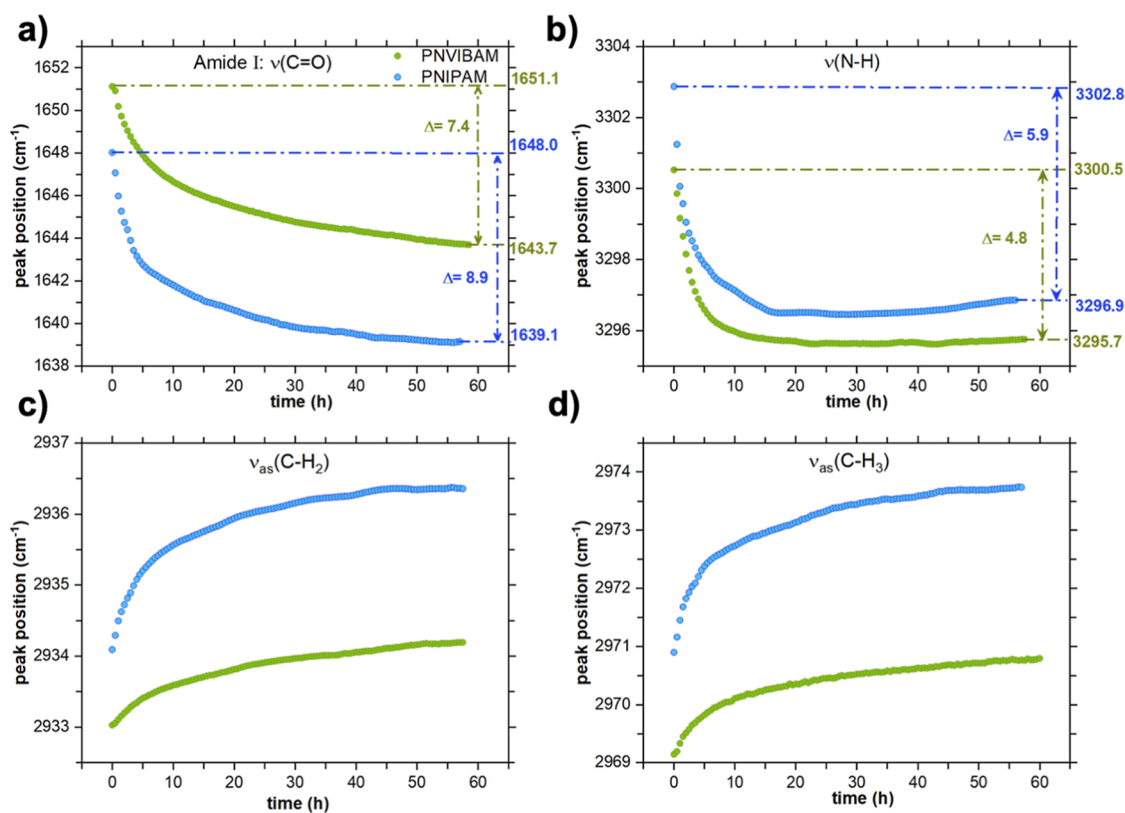
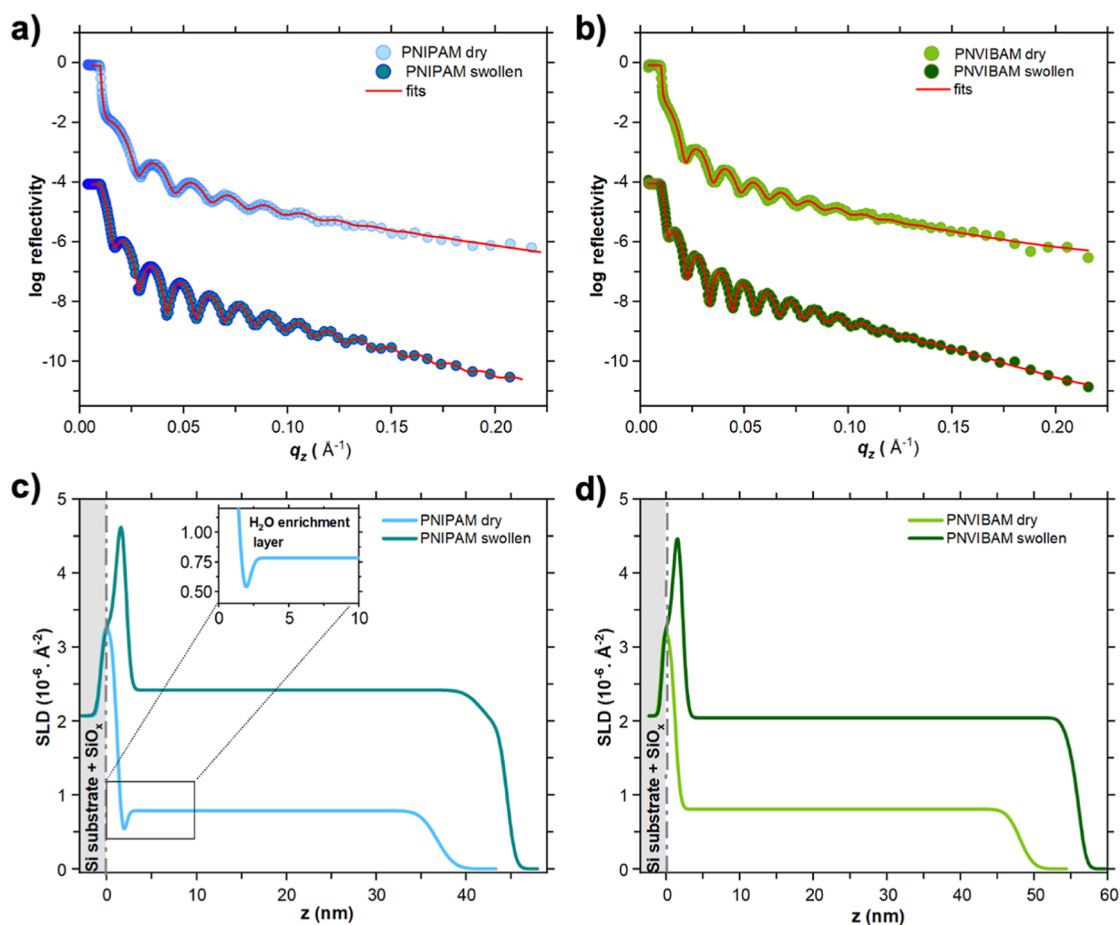


Figure 4. Peak positions of the characteristic absorbance bands of PNIPAM (blue) and PNVIBAM (green) in thin films. Peak position over time of the (a) amide I band, (b)  $\nu(\text{N-H})$  band, (c) methylene  $\nu_{\text{as}}(\text{C-H}_2)$  band, and (d) methyl  $\nu_{\text{as}}(\text{C-H}_3)$  band.

does not exhibit the same strong interaction with water as PNIPAM, leading to a dehydrated sample at the initial state of the experiment. The lack of primary water was previously observed for volume samples and attributed to the stronger intermolecular aggregation force within PNVIBAM chains.<sup>43,47</sup> Else, the characteristic peaks of the PNVIBAM moieties are observed in the FT-IR spectrum, as labeled in Figure S2b. In situ FT-IR spectra are recorded during water vapor exposure to elucidate the molecular mechanisms of the swelling process of PNVIBAM. Due to the limited time resolution of the FT-IR setup, the kinetics of the swelling process are deliberately slowed down compared to the one used for the SR

characterizations. The in situ FT-IR measurements are performed for 57 h. The recorded FT-IR spectra of PNIPAM and PNVIBAM are shown in Figure 3. The spectra are plotted after background correction and atmospheric compensation. Both spectra were normalized by taking their first recorded spectrum and adjusting their absorbance on the same value for the amide I bands. For both polymers, it is seen that the water peak located at  $3500\text{ cm}^{-1}$  grows over time, demonstrating the sorption of the surrounding water by PNIPAM and PNVIBAM. In agreement with the film swelling behavior, for PNIPAM, the water peak grows more strongly compared with PNVIBAM. The interactions of the incorporated solvent with



**Figure 5.** Static ToF-NR data (symbols) of (a) PNIPAM and (b) PNVIBAM films with fits (red solid lines), and the corresponding SLD profiles of (c) PNIPAM and (d) PNVIBAM films in the dry state (lighter color) and in the swollen state (darker color). Gray areas in the SLD plots represent the substrate of the films.

the polymeric structures are characterized by fits to the characteristic absorbance peaks using a Gaussian function and tracking the position of the centroid of these peaks over time.

Figure 4a,b shows the evolution of the peak positions of the absorbance bands of the bonds responsible for the hydrophilicity of the polymers, namely, the carbonyl and the amine moieties.<sup>25,55,59</sup> It is noticeable that for both polymers, the wavenumbers of the amide I and the  $\nu(\text{N-H})$  stretching absorption bands decrease over time. This decrease in the oscillation frequency results from the bonding of new entities to these functional groups, in the present case, the formation of hydrogen bonds. The latter behavior is known for PNIPAM during hydration<sup>25,59</sup> and also present for PNVIBAM. However, Figure 4a shows that the shifts between the initial and the final position of  $\nu(\text{C=O})$  bands (amide I) of the studied polymers are different. The amide I signal position of PNIPAM undergoes a shift of  $\Delta = -8.9 \text{ cm}^{-1}$ , while the position of the amide I band in the PNVIBAM sample changes by only  $\Delta = -7.4 \text{ cm}^{-1}$ . The strength of the hydrogen bonding to an amide group is known to correlate with the frequency of its  $\nu(\text{C=O})$  vibration mode because the stronger the hydrogen bonding involving the carbonyl of the amide, the lower the electron density along the  $\nu(\text{C=O})$  bond and the lower the  $\nu(\text{C=O})$  frequency.<sup>60</sup> Hence, the weaker shift of the amide I signal of PNVIBAM shows that the amide I group of PNVIBAM forms weaker hydrogen bonds than PNIPAM. Regarding the  $\nu(\text{N-H})$  peak (Figure 4b), both the peaks of

PNIPAM and PNVIBAM have different initial and final positions. The shift between the final and initial positions of the  $\nu(\text{N-H})$  peak in PNIPAM is  $\Delta = -5.9 \text{ cm}^{-1}$ , while the one in PNVIBAM is  $\Delta = -4.8 \text{ cm}^{-1}$ . Consequently, the  $\nu(\text{N-H})$  bonds of PNIPAM, which undergo a stronger IR shift, appear to interact more with water than PNVIBAM. Accordingly, PNIPAM seems to behave more hydrophilic than PNVIBAM, despite its LCST. The differences in interaction energies could explain such a scenario: in the polymer-rich region of the phase diagram, the interaction energy between the polymer chains seems to be higher for PNVIBAM compared to PNIPAM, whereas, in the solvent-rich region, the interaction energy between the polymer and water appears to be also higher for PNVIBAM than for PNIPAM. As the presented study focuses on thin film configuration, the depicted behavior has to be considered within the polymer-rich part of the phase diagram.

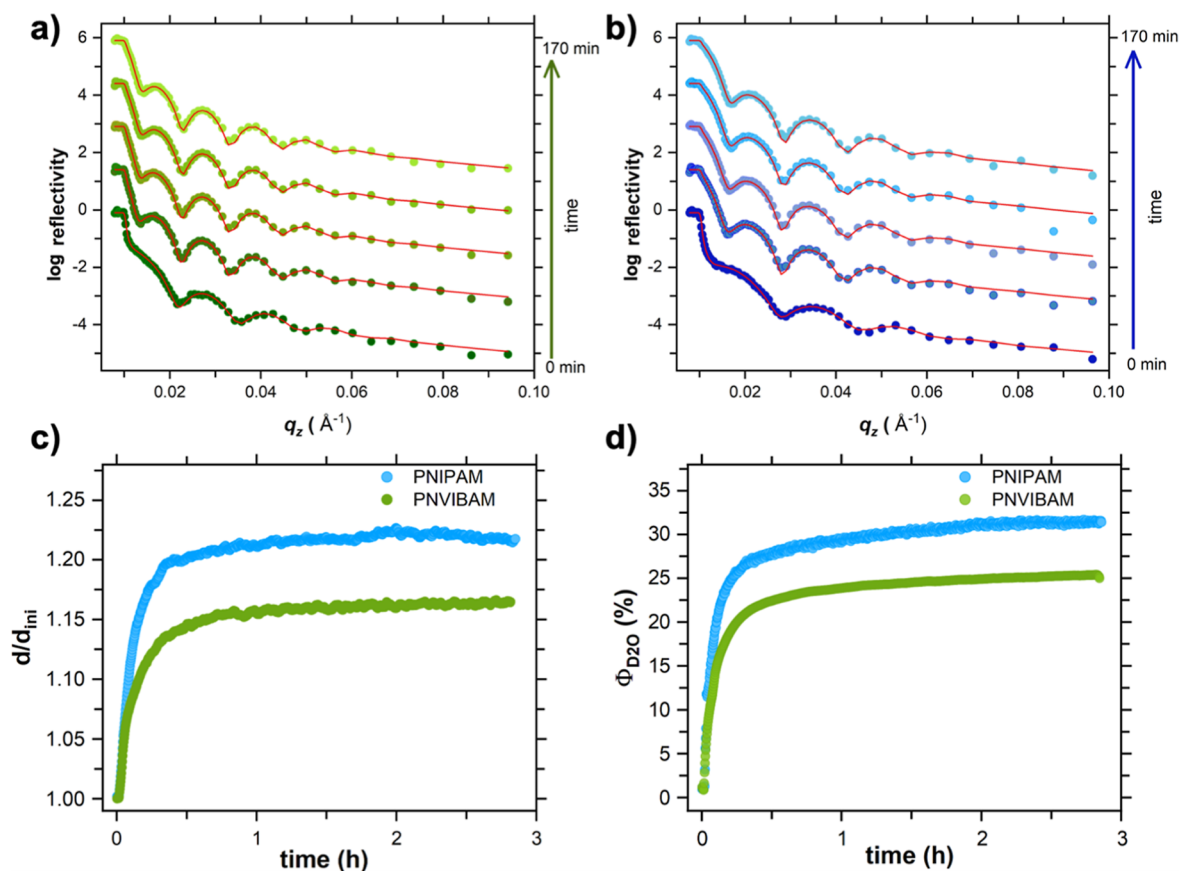
The evolution of the peak positions of the functional groups responsible for the hydrophobic behavior is shown in Figures 4c,d and S3 in the Supporting Information. These three graphs exhibit shifts of the methylene  $\nu_{\text{as}}(\text{C-H}_2)$ , the methyl  $\nu_{\text{as}}(\text{C-H}_3)$  bands from the isopropyl groups, and the amide II bands of PNIPAM and PNVIBAM. The peak of those entities shifts toward higher wavenumbers. This increase in the vibration frequency is characteristic of a water clathrate around these hydrophobic groups. This behavior is characteristic of PNIPAM systems. Below the LCST, the enthalpic contribu-

tions from hydrogen bonding between amide groups and water molecules stabilize PNIPAM in its hydrated and elongated state. These enthalpic effects dominate the entropic contributions of the hydrophobic isopropyl groups, which are surrounded by a stable water clathrate structure.<sup>31,61–65</sup> The hydrophobic interactions between water and these bonds lead to a confinement of the vibrational motions of the considered bonds, resulting in a shift of the peaks toward higher wavenumbers. In both cases, the shift of the peaks of PNIPAM is more pronounced than that of the peaks of PNVIBAM. Thus, PNIPAM seems to exhibit stronger confinement from the water clathrates than PNVIBAM, which appears to have larger water cages. The rearrangement of the amide II peak (Figure S3) during the water exposure suffers from the same confinement, leading to a shift to higher wavenumbers. Furthermore, the PNIPAM amide II absorbance band reaches a higher wavenumber value than that of PNVIBAM, demonstrating a stronger confinement. This observation correlates with the hypothetical smaller water cages present in PNIPAM. Consequently, PNIPAM appears to have a stronger affinity for water than PNVIBAM, as it presents stronger hydrophilic interactions. The presence of large water clathrates arising from the hydrophobic interactions in PNVIBAM seems to repel water and sterically hinder the introduction of free water molecules within the polymer thin film. This behavior can explain the observed reduced swelling of PNVIBAM films compared to PNIPAM films, as revealed by SR (Figure 2).

**Depth Profiling Investigation.** The distribution of the components along the surface normal of the polymer thin films is investigated with ToF-NR. Neutrons allow precise depth profiling of the hydrated polymer thin films without causing beam damage. An accurate investigation is enabled by choosing D<sub>2</sub>O as a solvent for the swelling measurements, as it has a high SLD, resulting in a strong scattering contrast between the solvent and the polymer. To obtain detailed knowledge of the solvent distribution at the initial and final stages of the swelling process, ToF-NR static measurements are performed in the N<sub>2</sub>-stream dried and D<sub>2</sub>O hydrated (swollen) states, also called static measurements. In addition, time-resolved ToF-NR measurements, also called kinetic measurements, are performed to assess the D<sub>2</sub>O uptake over time and the resulting thickness increase of the films. The static measurements are recorded at two incident angles to access reflectivity data within a large  $q_z$  range. The obtained data from the specular reflectivity are fitted with a layered structure model to replicate the polymer film configurations. Detailed settings of the measurement and the models used are provided in the Supporting Information. Besides the observed reflectivity fringes, the associated SLD profiles determined from the fits give a comprehensive view of the solvent distribution within the films. Figure 5 shows the respective dry and swollen static ToF-NR measurements of the PNIPAM and PNVIBAM films and their respective SLD profiles.

Figure 5a,b shows that, in both films, the critical edge shifts toward higher  $q_z$  values in the swollen states compared to the dry states. This shift originates from an increase in the SLD of the overall films, suggesting the incorporation of D<sub>2</sub>O, as the solvent has a higher SLD than do the polymers. Additionally, the swelling of the films is evidenced by the narrowing of the Kiessig fringes compared to the dry state, revealing significant structural alterations induced by hydration. As determined from the model fits, the initial film thickness in the dry state is

$37 \pm 1$  nm for PNIPAM and  $48 \pm 1$  nm for PNVIBAM film, respectively. The derived SLD profiles are depicted in Figure 5c,d, in dependence on  $z$ , the distance to the substrate in the direction normal to the substrate surface. In the case of the PNIPAM film, the dry state ToF-NR data can only be fitted with a three-layer model, which includes an interface layer to the substrate, the main polymer layer, and an interface layer toward the air. The Si substrate and the native SiO<sub>x</sub> layer originating from the substrate cleaning procedure are not counted since their parameters are kept constant in the fits as they are not expected to change during the experiment. A detailed description of the generic layer models presented in this study can be found in the Supporting Information. The main polymer layer has a SLD value of  $0.78 \times 10^{-6} \text{ \AA}^{-2}$ , which is slightly below the theoretical SLD value of PNIPAM. As illustrated in the inset plot of Figure 5c, there is a distinct drop in the SLD profile close to the substrate in the dry state. In addition, the surface enrichment layer has a lower SLD as well. The presence of H<sub>2</sub>O can explain these observations as H<sub>2</sub>O has a negative neutron SLD of  $-0.561 \times 10^{-6} \text{ \AA}^{-2}$ . Therefore, the decrease in the SLD is attributed to the presence of primary water, which is also found in the FT-IR characterizations. This enrichment layer results from the Si substrate acid cleaning procedure plus the O<sub>2</sub> plasma treatment, which ensures a hydrophilic surface of the substrate by forming a SiO<sub>x</sub> layer that creates polar silanol groups (–Si–OH) via hydrogen bonding with the residual water in the polymeric system. In addition, neutron-based characterization techniques such as ToF-NR grant an easy contrast variation where the SLD, which depends on the probed materials' mass density and the elemental constitution, is directly measured. Hence, knowing the theoretical SLD of H<sub>2</sub>O and the polymer enables us to attribute the SLD variations to different layers and interfaces. Thus, both techniques, FT-IR and ToF-NR, consistently reveal the presence of water in the PNIPAM film, even after the film has been dried under N<sub>2</sub> for 1 h. Furthermore, combining two neutron incident angles for the static ToF-NR measurements leads to the coverage of a broad range of momentum transfers,  $q_z$ , enabling a high-resolution determination of the whole vertical film distribution. Thus, ToF-NR completes the FT-IR findings, revealing that this residual water is not homogeneously distributed but enriched at both interfaces, substrate–film and film–atmosphere. In contrast, the ToF-NR data of the PNVIBAM film, shown in Figure 5b, can be fitted with a two-layer model, namely, the main polymer layer and the polymer–air interface layer. The SLD profile resulting from this fit is shown in Figure 5d. The main polymer layer again has a SLD value of  $0.78 \times 10^{-6} \text{ \AA}^{-2}$ , which is slightly below the expected SLD value of PNVIBAM. The surface enrichment layer has a slightly lower SLD value as well, indicating the presence of trace amounts of residual water in the entire PNVIBAM film. The absence of a water-rich region near the substrate agrees well with the FT-IR analysis. The detection of traces of primary water with ToF-NR might originate from the different film thicknesses. Indeed, thicker films are needed to record strong enough IR absorbance. For the swollen film states, the ToF-NR data are fitted with a similar model structure consisting of layers. In both cases, the SLD values increase drastically at the substrate–polymer interface. This observation is attributed to a D<sub>2</sub>O enrichment layer, as D<sub>2</sub>O has the highest SLD value. Also, the main polymer layers show a higher SLD value than the SLD obtained in the dried state due to the D<sub>2</sub>O incorporation



**Figure 6.** Selection of ToF-NR data recorded during the film swelling in  $D_2O$  vapor atmosphere for (a) PNVIBAM and (b) PNIPAM films. Curves are shifted along the vertical axis with time for clarity of the presentation. Solid red lines show the model fits. Temporal evolution of (c) the swelling ratio and (d) volume fraction of  $D_2O$  of the main polymer layer for PNIPAM (blue) and PNVIBAM (green) films.

within the polymer layer. In the case of PNVIBAM, the SLD value of the main polymer layer reaches  $2.04 \times 10^{-6} \text{ \AA}^{-2}$ , whereas for PNIPAM, it reaches  $2.40 \times 10^{-6} \text{ \AA}^{-2}$ , indicating a different uptake of  $D_2O$ , as in both samples, the main polymer layer has the same SLD value. Considering that the investigated PNIPAM film was initiated with a smaller thickness than the PNVIBAM film, the observed SLD difference agrees with a higher hydrophilicity of the PNIPAM film. Once equilibrated, the PNVIBAM film swells up to a thickness of  $57 \pm 1 \text{ nm}$  and the PNIPAM to  $44 \pm 1 \text{ nm}$ .

In addition to the static measurement, kinetic ToF-NR measurements are recorded to obtain insights into the swelling processes during exposure to a  $D_2O$  vapor atmosphere. Figure 6 illustrates the observed changes in the ToF-NR data during solvent uptake. As seen with the statics measurements, a narrowing of the fringes during the swelling process is observed, indicating a thickness increase with the solvent absorption. The data of the kinetic measurements are fitted with a two-layer model consisting of the  $D_2O$  enrichment layer at the substrate and the main polymer layer (see Supporting Information). The parameters describing the substrate with its native  $SiO_x$  layer are kept constant during the fit procedure. A two-layer model is chosen as the kinetic ToF-NR measurements are performed at only one neutron incident angle, resulting in a narrower  $q$ -range than that of the static measurements. In fact, reducing the  $q$ -range enables a higher time resolution, allowing the study of fast swelling processes, but it limits the number of fit parameters. The resulting kinetic ToF-NR characterization is studied with a time resolution of

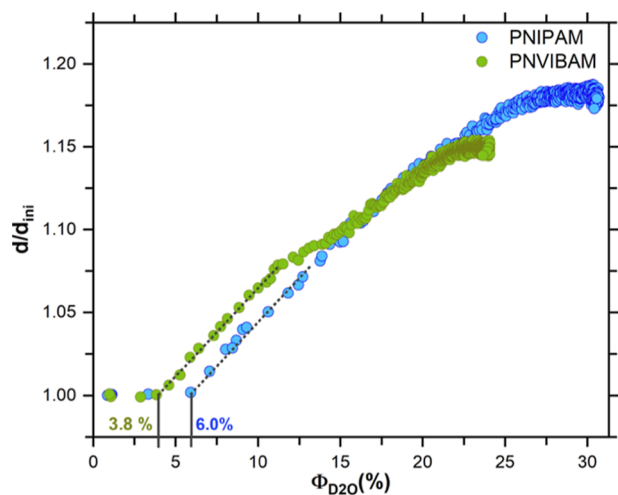
10.55 s, comparable to the SR measurements' probing time. The validity of the reduced layer model is verified with the fit parameters of the dry and hydrated state of the sample, which represents the initial and final states of the characterization and therefore sets the limit of the fit parameters in the time-resolved study. Figure 6c shows the temporal evolution of swelling ratio  $d(t)/d_{ini}$ . Figure 6d shows the time evolution of the increase in the volume fraction of  $D_2O$ , written as  $\Phi_{D_2O}$ , extracted from the fits for the main polymer layer. Figure 6c reveals that the PNIPAM main layer undergoes an expansion of 22%, while for PNVIBAM, it is 16%. Thus, PNVIBAM reaches a swelling ratio smaller than that of PNIPAM, matching the SR results described above. The uptake of solvent is determined from the time-evolution of the volume fraction of  $D_2O$ .<sup>12,13</sup>

$$\Phi_{D_2O}(t) = \frac{SLD_{meas}(t) - SLD_{poly}}{SLD_{D_2O} - SLD_{poly}}$$

where  $SLD_{meas}(t)$  is the SLD of the main polymer layer at a given time  $t$  of the swelling process,  $SLD_{poly}$  is the SLD of the dry polymer, and  $SLD_{D_2O}$  is the SLD of neat  $D_2O$ .  $\Phi_{D_2O}(t)$  is calculated only from the SLD rise of the main polymer layer. Therefore, the affinity of water with the isomers is decoupled from the enrichment layer present at the substrate–polymer, which is driven by the interaction with the substrate. Figure 6d shows that the  $D_2O$  volume fraction in the PNIPAM main layer is 31%, while the PNVIBAM polymer main layer reaches only a volume fraction of 25%. Hence, the PNIPAM film takes

up more D<sub>2</sub>O than the PNVIBAM film, in agreement with the observations from the SR and FT-IR measurements.

The overall swelling of the main polymer layer within the films is further characterized by plotting the dependence of the film thickness vs the D<sub>2</sub>O content, as illustrated in Figure 7.



**Figure 7.** Evolution of the swelling ratio versus the solvent content expressed as the volume fraction of D<sub>2</sub>O for PNIPAM and PNVIBAM films. Dotted lines are linear fits. Solid lines extrapolate to the water uptake without film swelling.

Two major hydration different regimes are detected. The first regime is characterized by water uptake without film swelling, and the second regime shows film swelling during water uptake. For the second regime, the parallel dotted gray lines with similar slopes suggest that the thickness evolution of both isomers follows a similar linear trend for the film swelling. However, the amount of solvent that was taken up to transit into this second regime depends on the polymer. Different D<sub>2</sub>O volume fractions are required to induce film swelling. In the first regime, despite the high relative humidity conditions, both films start solvent sorption without swelling. Water molecules penetrate into the film and fill the available free volume.<sup>66,67</sup> With increasing amounts of incorporated water, the polymers gain mobility. They may change conformation and expand to accommodate more solvent molecules (second regime). As seen in Figure 7, in the case of PNVIBAM, a D<sub>2</sub>O volume fraction of 3.8% is required to induce film swelling, whereas, for PNIPAM, a volume fraction of D<sub>2</sub>O of 6.0% is needed. In other words, swelling occurs in the PNVIBAM film with a smaller amount of water than that in the PNIPAM film. A possible explanation may lie in the different glass transition temperatures of PNIPAM and PNVIBAM, as well as in a difference in the fractional free volume or its accessibility between both polymers. Indeed, a glass transition temperature of  $T_g = 152$  °C was measured by Henschel et al. for the same PNVIBAM batch,<sup>41</sup> while literature gives a glass transition temperature of PNIPAM ranging from 130 to 140 °C.<sup>68–71</sup> This observation correlates with the presence of hydrophobic water clathrates, as found using FT-IR. Specifically, PNVIBAM seems to have larger water cages around the hydrophobic moieties of the polymer, which can sterically hinder the sorption of additional water molecules from the vapor in the free volume of the polymer film. In contrast, PNIPAM, which appears to have smaller hydration units due to the stronger confinement induced by the water clathrates, offers a larger

accessible volume for the uptake of additional water from the vapor.

Regarding the temporal evolution of the water enrichment layers near the substrate, a difference in the thickness of this layer is seen in Figure S6a in the Supporting Information. In the case of PNVIBAM, the enrichment layer is slightly thicker compared with PNIPAM. Also, the enrichment layer needs a longer time to grow in thickness for PNVIBAM than for PNIPAM. In addition, a faster rise of the SLD is observed in the case of the PNVIBAM thin film as well, as shown in Figure S6b in the Supporting Information. The incorporation of D<sub>2</sub>O at the interface between the bulk layer and the substrate drives this SLD increase. We attribute this to the presence of primary light water in PNIPAM at the interface, which slows down the uptake of deuterated water because a solvent exchange is required to replace existing protonated water with deuterated water from the vapor. The SLDs recorded in the hydrated states of both samples reach similar values, demonstrating that a comparable amount of D<sub>2</sub>O is located at this interface in both thin films.

## CONCLUSIONS

The potential of PNVIBAM, a structural isomer of PNIPAM, is explored with respect to film swelling in a water vapor atmosphere at room temperature. It turns out that the swelling behavior differs. In the final hydrated states, PNVIBAM thin films swell less than PNIPAM thin films do. Such differences in the mesoscopic film behavior result from differences on the molecular level, as seen by the FT-IR measurements. The amide I and –N–H signals, which correspond to the groups responsible for the hydrophilicity of the polymers, show less affinity for water in the case of PNVIBAM, although its LCST in solution is higher than the LCST of PNIPAM. In addition, the hydrophobic moieties of PNIPAM, the isopropyl groups, and the methylene group in the polymer backbone appear to be subject to stronger confinement from the surrounding water clathrates. In contrast, the larger water cages present in PNVIBAM imply a steric hindrance for the water molecules to access the free volume within the polymer film. Such differences in the water content also result in differences in the water distribution inside the thin films, as deduced by ToF-NR.

Along the same direction, the PNIPAM films still contain residual primary water inside the films after exposure to dry N<sub>2</sub> gas, while the PNVIBAM thin films are virtually completely dry, according to the FT-IR spectra.

Kinetic studies of the swelling process reveal a better understanding of the resulting final swollen films. The water uptake of the main polymer layer proceeds in two steps. Initially, the water molecules only fill the free volume of the films, until in the second stage, the water uptake is accompanied by an increase in the film thickness. The PNVIBAM film reaches the transition into the film swelling at a lower water amount incorporated compared to the PNIPAM film. Regarding the water enrichment layer near the substrate, the exchange from protonated water to deuterated water is driving the kinetics at this interface in the PNIPAM film, while the PNVIBAM film shows a fast uptake of D<sub>2</sub>O.

In summary, the higher LCST of PNVIBAM in water makes this polymer attractive as a substitute for PNIPAM. The study of the response of these isomers to water vapor exposure gives a better understanding of PNVIBAM behavior, widening the choice of LCST-type polymers for various applications. The

observed differences underline that an exchange of PNIPAM with PNVIBAM will give rise to differences in the behavior, which need to be considered when applying it in drug delivery systems, biosensors, actuators, and responsive coatings.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.macromol.4c02802>.

PNVIBAM description and polymerization; sample environment description; FT-IR spectrometer configuration and measurement parameters; details on the used polymer concentrations; PNIPAM and PNVIBAM swelling ratios; PNIPAM and PNVIBAM dry mid-IR spectra; peak shift for IR amide II bands ToF-NR instrument configuration and measurement parameters; data reduction; modeled reflectivity from batch fitting kinetic ToF-NR data; and batch fitting kinetics ToF-NR of the polymer substrate interface (PDF)

## ■ AUTHOR INFORMATION

### Corresponding Author

**Peter Müller-Buschbaum** – TUM School of Natural Sciences, Department of Physics, Chair for Functional Materials, Technical University of Munich, 85748 Garching, Germany; [orcid.org/0000-0002-9566-6088](https://orcid.org/0000-0002-9566-6088); Phone: +49 89 289 12451; Email: [muellerb@ph.tum.de](mailto:muellerb@ph.tum.de); Fax: +49 89 12473

### Authors

**Morgan P. Le Dû** – TUM School of Natural Sciences, Department of Physics, Chair for Functional Materials, Technical University of Munich, 85748 Garching, Germany; [orcid.org/0000-0002-5879-1461](https://orcid.org/0000-0002-5879-1461)

**Julija Reitenbach** – TUM School of Natural Sciences, Department of Physics, Chair for Functional Materials, Technical University of Munich, 85748 Garching, Germany; [orcid.org/0000-0001-8142-7337](https://orcid.org/0000-0001-8142-7337)

**David P. Kosbahn** – TUM School of Natural Sciences, Department of Physics, Chair for Functional Materials, Technical University of Munich, 85748 Garching, Germany; [orcid.org/0000-0001-8632-2059](https://orcid.org/0000-0001-8632-2059)

**Lukas V. Spanier** – TUM School of Natural Sciences, Department of Physics, Chair for Functional Materials, Technical University of Munich, 85748 Garching, Germany; [orcid.org/0000-0003-0300-2987](https://orcid.org/0000-0003-0300-2987)

**Robert Cubitt** – Institut Laue-Langevin, 38042 Grenoble, France

**Cristiane Henschel** – Institut für Chemie, Universität Potsdam, 14476 Potsdam-Golm, Germany

**André Laschewsky** – Institut für Chemie, Universität Potsdam, 14476 Potsdam-Golm, Germany; Fraunhofer Institut für Angewandte Polymerforschung, 14476 Potsdam-Golm, Germany; [orcid.org/0000-0003-2443-886X](https://orcid.org/0000-0003-2443-886X)

**Christine M. Papadakis** – TUM School of Natural Sciences, Department of Physics, Soft Matter Physics Group, Technical University of Munich, 85748 Garching, Germany; [orcid.org/0000-0002-7098-3458](https://orcid.org/0000-0002-7098-3458)

Complete contact information is available at: <https://pubs.acs.org/10.1021/acs.macromol.4c02802>

## Author Contributions

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## Notes

The authors declare no competing financial interest.

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