

Towards Autonomous Micro UAV Swarms

Axel Bürkle, Florian Segor, Matthias Kollmann

*Fraunhofer-Institut für Optronik, Systemtechnik und Bildauswertung IOSB
Fraunhoferstraße 1, 76131 Karlsruhe, Germany*

phone +49 721 6091 552, fax +49 721 6091 413

{axel.buerkle, florian.segor, matthias.kollmann}@iosb.fraunhofer.de

www.iosb.fraunhofer.de

Abstract. Micro Unmanned Aerial Vehicles (UAVs) such as quadrocopters have gained great popularity over the last years, both as a research platform and in various application fields. However, some complex application scenarios call for the formation of swarms consisting of multiple drones. In this paper a platform for the creation of such swarms is presented. It is based on commercially available quadrocopters enhanced with on-board processing and communication units enabling full autonomy of individual drones. Furthermore, a generic ground control station is presented that serves as integration platform. It allows the seamless coordination of different kinds of sensor platforms.

Keywords: *UAVs, micro drones, swarms, ground control station*

1 Introduction

Since the introduction of quadrocopters a few years ago, the use of micro UAVs has gained great popularity. Today there is a variety of available models ranging from rather inexpensive kits for self-assembly to sophisticated professional solutions. The advantages of micro VTOL (Vertical Take-Off and Landing) UAVs over bigger drones like MALE (Medium Altitude Long Endurance) or HALE (High Altitude Long Endurance) UAVs are obvious: They are more cost-efficient, easier to transport and can be deployed in shorter time. With micro UAVs it is also possible to access narrow areas that are inaccessible to larger drones, especially in urban terrain. Another advantage of VTOL UAVs, such as quadrocopters, is their ability to hover above a point of interest.

However, micro UAVs exhibit limitations due to their size. Their payload is usually only a few hundred grams allowing just light and compact sensors. What kind of sensor is best suited for a specific mission, e.g. optical or infrared camera has to be planned beforehand. Today, quadrocopters are teleoperated through a remote control or a ground station. In both cases, the operational range is limited

by the communication link to the operator. Obstacles like trees or buildings between remote control and drone further reduce this range. Furthermore, micro UAVs have a rather short endurance.

Most of these limitations can be eased by employing teams or swarms of cooperating UAVs. On top of that, some complex monitoring and surveillance applications can only be implemented with groups of flying platforms. While the control of a single micro UAV is already well understood and a wide range of commercial products are available, the use of multiple platforms simultaneously still needs investigation. This paper presents ongoing work on the development and simulation of autonomous devices and strategies for the formation of swarms of micro UAVs.

In the following section, an overview of possible application scenarios for micro UAVs is given with special regard to swarms of micro drones. After a short survey of related work the apparatus used for this work is presented. It consists of a modified commercial flight platform and a self-made ground control station. Communication and control of the micro UAVs is realized through a programmable video camera in combination with a WiFi module integrated into the drones. An agent-based framework for the realization of different coordination strategies and a simulation environment are presented. This paper closes with a summary and discussion of future work.

2 Application Scenarios

In a recent Frost & Sullivan report [5], application scenarios for UAV platforms are divided into military and civil applications. According to this report micro UAVs are already used in vast and diverse civil applications. Some of the tasks that can be supported with UAVs in general include but are not limited to: enhancing agricultural practices, police surveillance, pollution control, environment monitoring, fighting fires, inspecting dams, pipelines or electric lines, video surveillance, motion picture film work, cross border and harbor patrol, light cargo transportation, natural disaster inspection, search and rescue, and mine detection.

Obviously some of these tasks are not suitable for micro UAVs due to their limited operating range and payload. With groups or swarms of micro UAVs it is possible to realize scenarios that are inefficient or even not feasible with a single micro drone. In the following some application scenarios for micro UAV swarms are presented.

Military users such as tactical units on patrol missions can apply micro UAVs for intelligence, surveillance and reconnaissance tasks. Swarms bring the capability of coordinated area surveillance. Also, the application of micro UAVs in “military operations in urban terrain” (MOUT) is publically discussed. Currently, the capability to safely look into buildings is a highly requested feature. Such a feature is not yet ready available but is actively investigated e.g. in the DARPA program VisiBuilding [16]. The use of micro UAVs acting as relay node into buildings is also discussed.

In the civil domain in the event of a big incident there is a need for an immediate situation picture to support the rescue forces in making decisions. The search for buried people after building collapses or the clarification of fires at big factories or chemical plants are possible scenarios. Only in the minority of cases the rescue forces can rely on an already available sensor infrastructure at the incident site. If there were sensors available, there is a significant chance they will have been destroyed or at least partially corrupted. A transportable sensor system that can be deployed quickly and inexpensively to the site of the event can close this gap. Especially in time-critical rescue operations swarms help to significantly speed up the collection of a highly up-to-date situation picture from the air. Fig. 1 shows an example picture generated with our UAV system.

Micro UAVs, in combination with other sensors, are also employed for the protection of military camps, convoys, industrial premises and other safety critical infrastructures. In such security applications the perimeter or outer fence could be monitored by movement detectors (e.g. visual or passive infrared). Micro UAVs such as quadcopters can patrol areas of interest. In case of a perimeter violation quadcopters could be directed to the place of the event in order to follow and

monitor a potential intruder. Several cases could raise the demand for a swarm of quadrocopters in such a situation.

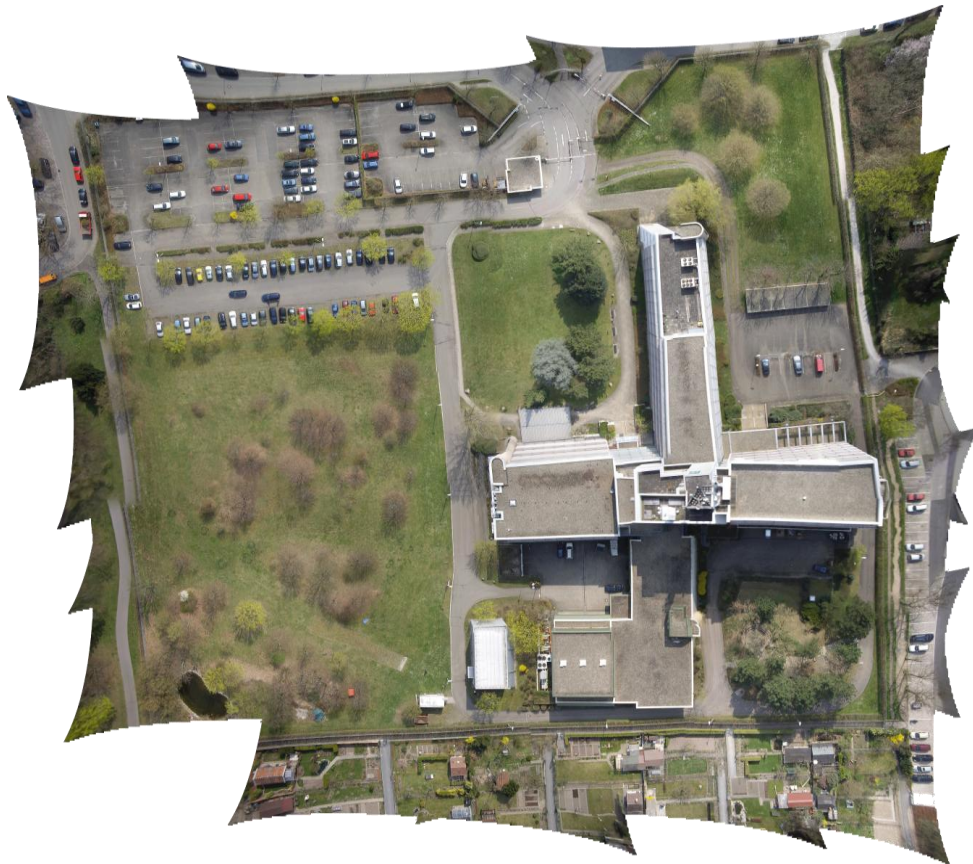


Fig. 1 A high-resolution situation picture (ca. 9500 x 9000 pixel)

- A quadrocopter loses connection to the ground control station because it moved too far or the signals are blocked by an obstacle. In a group of quadrocopters, one of them can be “parked” in reach of the ground control station and act as relay station.
- Several intruders enter the site. They later split up, each taking different directions. A single drone would have to decide which person to follow, while a swarm of UAVs can form subgroups and track each intruder individually.
- The duration of surveillance exceeds battery life time. In a team assignments can be planned accordingly and another quadrocopter can take over the task of an out-of-battery drone.
- A threat has to be monitored with different sensor types. For example, an intruder who is tracked visually suddenly places an object. Besides the visual sensor some CBRNE (chemical, biological, radiological, nuclear,

and explosive) detection devices are needed. Since the payload of a single quadcopter is very limited a swarm could carry different sensors.

- Multi-sensor capability can also be used to visually control the action of different drones. For example an infrared sensor equipped quadcopter could be employed by the operator located in the ground control station to navigate a chemical sensor equipped micro UAV through a dark building.

These cases illustrate that there is a need for forming swarms of micro UAVs. The coordination with other sensor platforms, such as UGVs (Unmanned ground vehicles) or stationary sensors, adds further value to the system.

3 Related Work

The cooperative control of teams or swarms of UAVs makes high demands on the flight platform and requires new control strategies. With an increasing number of team members manual control becomes more and more impractical if not impossible. A general approach is to equip the UAVs with a certain amount of autonomy. This requires capabilities such as communication between drones, autonomous real-time navigation, sensing, and collision avoidance. With recent advances in corresponding areas, those capabilities can be integrated into micro UAVs. The following section gives an overview of research efforts in building collaborative micro UAVs.

The projects Flying Gridswarms and UltraSwarm [6, 11], both carried out at the University of Essex, investigated the flocking of a group of MAVs (Micro or Miniature Aerial Vehicles) for the purpose of solving tasks by making use of the unique advantages of swarms. While Flying Gridswarms used a fixed wing platform, UltraSwarm aimed at building an indoor flocking system using small co-axial rotor helicopters. The key idea is using biologically inspired rules of group behaviour (flocking) to enable a group of UAVs to control its own motion. The swarm members wirelessly network to form a single powerful computing resource.

The chosen aerial platform for the UltraSwarm project was an off-the-shelf model helicopter. Due to their low costs swarms can be built at reasonable costs. The platform was fitted with an onboard computer and a miniature wireless video camera. To compensate for the additional weight it was necessary to upgrade the motors and batteries.

The ongoing μ DRONES (Micro Drone autonomous navigation for environment sensing) project [10], funded by the European Commission under the 6th Framework Programme, aims at developing a small size UAV designed for autonomous inspection and survey tasks in urban area. The core of the project is focused on the development of software and hardware modules providing autonomy to a small size drone in terms of navigation, localization and robustness to unexpected events. Key research areas are the development of a mission control system with an intuitive human-machine interface, the development of perception and command algorithms allowing a more efficient flight autonomy and development of a micro UAV prototype.

The MIT (Massachusetts Institute of Technology) project RAVEN (Real time indoor Autonomous Vehicle test ENvironment) [14, 15] primarily deals with the research on automated supervision systems for autonomous swarms. To allow multi-day autonomous system operations software-agent health management techniques are being developed to increase the reliability of autonomous systems. The coordination of the single swarm members is supported and their state is supervised. The aim is to create an adaptable management system that can react to changes and that is able to avoid or solve problems. An indoor test bed was created that allows operating several UAVs and UGVs autonomously in a swarm. It provides a defined surrounding in which algorithms and system components can be validated. The swarm logic is implemented on ground-based computers. Position control is done visually through an external camera system.

The AirShield project (Airborne Remote Sensing for Hazard Inspection by Network Enabled Lightweight Drones) [1, 2], which is part of the national security research program funded by the German Federal Ministry of Education and Research (BMBF), focuses on the development of an autonomous swarm of

micro UAVs to support emergency units and improve the information basis in case of huge disasters. The aim is to detect potentially leaking CBRNE-contaminants in their spatial extent and to carry out danger analysis with the help of these data without endangering human life. The swarm is supported by a highly flexible communication system, which allows communication between the swarm members and between the swarm and the ground station.

The focus of SUAAVE (Sensing, Unmanned, Autonomous Aerial VEHicles) [13] lies in the creation and control of swarms of helicopter UAVs that are individually autonomous but collaboratively self-organize. The project investigates the principles underlying the control of clouds of networked resource-limited UAVs that are targeted towards achieving a global objective in an efficient manner.

While Flying Gridswarms and UltraSwarm suffer from the limitations of the chosen aerial platform, our approach is based on highly reliable and expandable UAVs. Whereas μ DRONES focuses on the platform and autonomous navigation of a single UAV, we look at the operation and collaboration of a group of UAVs. The project RAVEN shows promising results and has progressed very far. It regards, however, a pure indoor test bed and, hence, possesses a rather academic character. By the use of a commercial off-the-shelf flight platform and the orientation towards a realistic scenario, the AirShield project is very promising and also showed first results. However the application possibilities are strongly limited by the focus on only one predefined mission type. SUAAVE follows an approach similar to ours. Their project is still at an early stage, though.

4 Platform

The development of our UAV swarm is based on a modified commercial flight platform and a self-made ground control station. The following sections describe these two elements of the platform.

4.1 Flight Platform

A lot of effort has been put into the selection of the flight platform. A platform that already comes with a range of sensors, an advanced control system and

autonomous flight features significantly reduces the effort necessary to realize a cooperative swarm of micro drones. Furthermore, when it comes to flying autonomously, the system has to be highly reliable and possess sophisticated safety features in case of malfunction or unexpected events.

Other essential prerequisites are the possibility to add new sensors and payloads and the ability to interface with the UAV's control system in order to allow autonomous flight. A platform that fulfils these requirements is the quadcopter AR100-B by AirRobot (see Fig. 2). It can be both controlled from the ground control station through a command uplink and by its payload through a serial interface. The latter feature was used to realize autonomous navigating (see Section 5).



Fig. 2 A quadcopter serves as flight platform

4.2 Ground Control Station

The ground control station developed by Fraunhofer IOSB within the project AMFIS [8] is an adaptable prototype system for managing sensor data acquisition with stationary sensors, mobile ad hoc networks, and mobile sensor platforms (Fig. 3). The main tasks of the ground control station are to work as an ergonomic user interface and a data integration hub between multiple sensors possibly mounted on moving platforms such as micro UAVs, ground vehicles or underwater vessels, and a super-ordinated control centre. The system includes means to control different mobile platforms (among them the AirRobot

quadrocopters) to direct those to potentially interesting locations in order to cope with large or no prior sensor equipped areas.

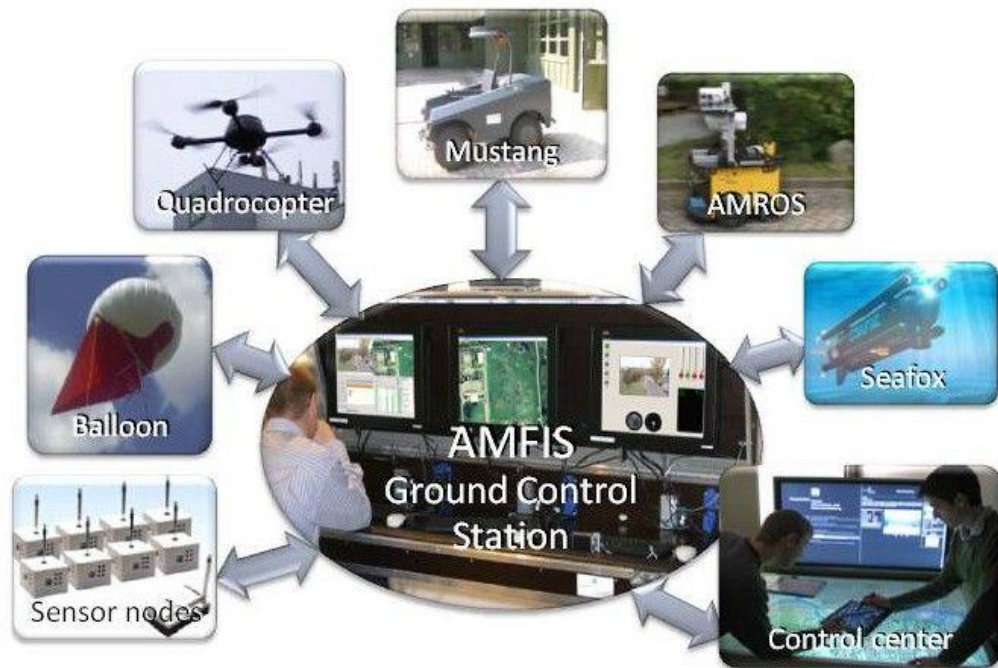


Fig. 3 A modular ground control station serves as integration platform for various sensors and sensor carriers

Through its generic design the system is able to link with a wide range of sensors, and can be equipped with electric-optical or infrared cameras, with movement dispatch riders, acoustic, chemical or radiation sensors depending on the operational aim. The AMFIS system is scalable and can be extended to any number of workstations. Due to this fact several sensor platforms can be coordinated and controlled at the same time. The most different sensor platforms can be handled in a similar manner by a standardized pilot's working station that in turn minimizes the training expenditure of the staff and raises the operational safety. The user interface is automatically adapted according to the sensor or sensor platform at hand.

Data fusion belongs to the most important tasks of a multi sensor system. Without merging the data from different sensors the use of such a system is very limited. Linking data of sensors that complement each other can generate an entire situation picture. All information gathered during the operation is immediately

available to the crew of the ground control station in which a GIS-supported, dynamic situation representation plays a central role. At the same time all received data is archived and stored into databases, e.g. a CSD (Coalition Shared Data) [4] or SSD (SOBCAH Shared Data) [3]. This serves the perpetuation of evidence and allows an additional subsequent analysis of the events.

The open interface concept supports the integration of AMFIS in existing security systems so that data can be exchanged on a real-time basis with other guidance, supervision or evaluation systems. Mission planning, manual and automatic vehicle guidance, sensor control, local and temporal linking (coalescence) of sensor data, the coordination of the people on duty, reporting and the communication with the leading headquarters in the situation center belongs to the other tasks of a reconnaissance system. Combination of sensor events and appropriate actions are implemented by predefined rules with an easy to use production system.



Fig. 4 Ground control station at Fraunhofer IOSB

The user interface of the ground control station at Fraunhofer IOSB consists of three workstations (Fig. 4). Basically, the system is designed that each display can be used to interact with each function allocated by AMFIS. The standard setup consists of two workstations for one operator each, and one situation awareness display in between that supports both operators. The duties of the two operators

can be divided into sensor and vehicle control, called pilot working place, and data fusion, archiving, exploitation and coordination tasks.

The user interface of the latter working place primarily provides a function for the visualization of sensor data streams. Therefore the operator gains access to the accumulated data. His task is to obtain and keep an overview of the situation and to inform the higher authorities about important discoveries and provide the associated data so that external systems or personnel can utilize that information. It is incumbent on him to mark important data amounts and to add additional information when necessary. Furthermore he is the link to the pilot and coordinates and supports the pilot in his work. The analyst as well as the pilot relies on the central geographical information system-supported situation representation that provides an overview of the whole local situation. The geographical relation is established here and the situation and position of the sensors and sensor platforms can be visualized. This includes for example the footprints of cameras or the position and heading of UAVs or UGVs.

The pilot's workstation is designed to control many different sensor platforms. It is not clear from the start which sensor platforms will be used in the future and it is also not clear which situation information will be provided by the different systems or which information is needed to control the future platforms in a proper way. For this purpose the pilot workstation provides a completely adaptable user interface which allows selectively activating or deactivating the required displays. An artificial horizon for example is completely useless in order to control a stationary swiveling camera but very helpful for controlling an airborne drone. The surface can be adapted to the particular circumstances and is configurable for a wide range of standard applications. No matter what sensor platform the user is currently controlling or supervising, the task is the same. He does not have to switch between different proprietary control stations. The user interface is identical except for individual volitional or necessary adaptations.

5 Towards Autonomy

In order to enable the UAVs to navigate autonomously, some enhancements to the AirRobot quadcopters had to be made. Furthermore, a framework to implement cooperation strategies was necessary.

5.1 UAV Control Hardware

To allow a high degree of autonomy, the quadcopter should be controlled by a processing unit that is carried as a payload. Due to space, weight and power constraints of the payload, this module has to be small, lightweight and energy-efficient. On the other hand, a camera as sensor system should not be left out. An elegant solution is the use of a “smart” camera, i.e. a camera that not only captures images but also processes them. Processing power and functionalities of modern smart cameras are comparable to PCs. Even though smart cameras became more compact in recent years, they usually still are too heavy to be carried by a quadcopter such as the AR100-B. In most applications, smart cameras are stationary where their weight is of minor importance. However, a few models are available as board cameras, i.e. without casing and the usual plugs and sockets (see Fig. 5, left). Thus, their size and weight are limited to a minimum. The camera chosen has a freely programmable DSP (400MHz, 3200MIPS), a real-time operating system and several interfaces (Ethernet, I²C, RS232). With its weight of only 60g (without lens), its compact size and a power consumption of 2.4W it is suitable to replace the standard video camera payload.

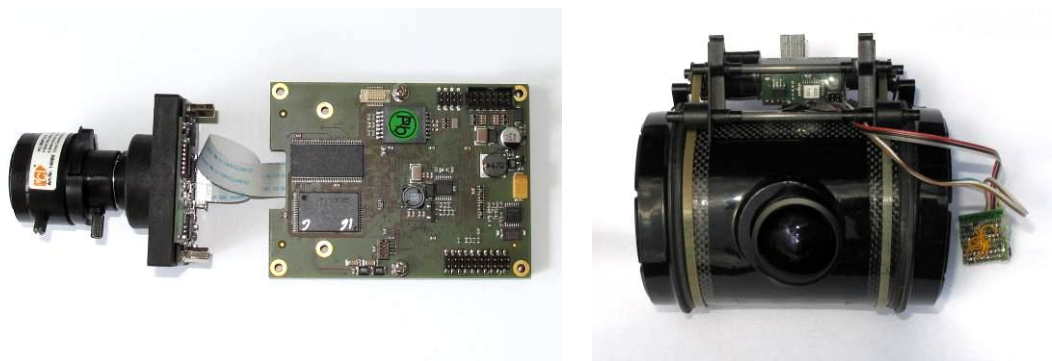


Fig. 5 A programmable camera module (left) controls the UAV. It has been integrated into a payload unit (right).

The camera can directly communicate with the drone's controller through a serial interface. The camera receives and processes status information from the UAV such as position, altitude or battery power, and is able to take control by sending basic control commands or GPS waypoints.

A drawback of the board camera is its lack of an analogue video output thus rendering the quadcopter's built-in video downlink useless. Image data is only available through the camera's Ethernet interface. To enable communication between the smart camera and the ground control station, a tiny WiFi module was integrated into the payload. The WiFi communication link allows to stream live video images, still shots and status information from the UAV to the ground control station. Furthermore, programs can be rapidly uploaded to the camera during operation.

Currently, the so enhanced UAVs are able to perform basic maneuvers, such as take-off, fly to position, and landing, autonomously. Furthermore, a software module was implemented, that calculates the footprint of the camera, i.e. the geographic coordinates of the current field of view. In the future we will also use the camera's image processing capabilities to generate further control information to be able to carry out more complicated flight maneuvers. Important research subjects are the recognition and avoidance of obstacles (see and avoid), the tracking of moving objects and the point-exact landing on a defined landing point. As a safety feature, it is always possible for the operator to override autonomous control and take over manually.

5.2 Communication Infrastructure

For a single UAV communication usually consists of two dedicated channels, an uplink channel for control commands and a downlink channel for video and status information. In present UAVs each of these channels has its own communication technique in a special frequency band. In complex scenarios that require multiple UAVs there have to be twice as many RF channels as UAVs used. These channels are all point-to-point connections which see the other UAVs only as interferer, if

at all. There is no channel between two UAVs; all communication goes via the base station.

Besides this direct control of UAVs there is a more abstract way which can use the benefits of an intelligent payload. As input the group of UAVs receives complex tasks which they will fulfill autonomously. This kind of control however brings the standard system with up- and downlink to its limits because it poses demands which cannot be fulfilled with the standard communication:

- No interference between communication of multiple UAVs (ideally: use of multihopping)
- Adding UAVs to the swarm must not require a new RF channel
- Opening of data channels to transmit the results to the base-station
- Opening of control channels to transmit any kind of commands to the UAV
- Sending broadcast messages to all UAVs
- Opening direct communication channels between UAVs

In addition to the new demands the standard requirements for UAVs still have to hold:

- Monitor the status of every UAV in the air
- Manual control of every UAV as fallback function

To fulfill these needs the (video-) downlink is replaced by a module capable of using networking communications. In our prototype we use a WiFi module because of its high data rates and good range, though other technologies might be feasible, too. The UAV's uplink channel is retained as fallback control option in case of an emergency.

With the WiFi network we implemented a communication solution that meets the demands listed above. This solution differentiates between UAV and base-station, i.e. the ground control station. There is only one base-station within the network. A base-station monitors the status of every UAV assigned to it. It also acts as gateway to other system components.

Our communication setup uses four types of channels (cf. Fig. 6):

- **Broadcast channel**
a channel which offers random access to every subscriber in the network
- **Control channel**
a dedicated channel between a UAV and the base-station to transmit status information from the UAV and to receive commands from the base-station
- **Data channel**
a dedicated channel between UAV and the base-station to send results of task i.e. images
- **Co-op channel**
this channel is opened between two UAVs if one of them needs assistance to finish a task

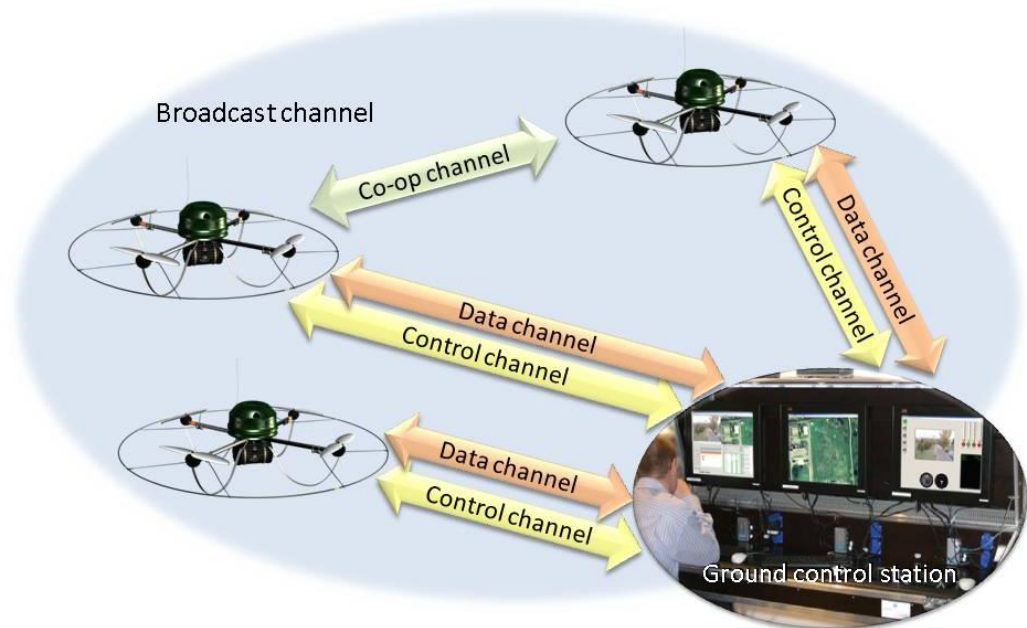


Fig. 6 Communication channels between UAVs and base-station

Broadcast channel

The broadcast channel is mainly used for initializing the other channels. If a UAV is not assigned to a base-station it will look for a base-station on this channel.

Also if a UAV needs assistance to finish a job, e.g. when its battery runs low or it needs a UAV with another sensor, the UAV calls for assistance on this channel.

Through the broadcast channel it is possible to reach all UAVs with a single message. If a UAV, for example, detects an obstacle it can inform all other UAVs

in the group. Another main feature of this channel is communicating new tasks. When this task is transmitted to the whole group instead of a single UAV, the decision, which UAV best fits the needs for this task, can be done by the group.

Control channel

The control channel is a dedicated channel between a UAV and a base-station. Over this channel a UAV sends its status as well as an “Alive” Message. With these data it is possible to monitor the UAVs in the base-station. The second feature of this channel is a command uplink to the UAV. It can be used to transmit tasks as well as to configure the UAV. Reconfiguring can be done by changing internal parameters of the UAV or by uploading new software modules.

Data channel

The data channel sends results (usually video images) to the base-station. The format of the data has to be predefined.

Co-op channel

The co-op channel is opened between two UAVs. If the UAV has a task, which cannot be done on its own, it seeks a wingman over the broadcast channel. If there is an idle UAV which can assist a co-op channel is opened between the two drones. Over this channel the UAV has the possibility to send subtasks to the wingman. After completion it receives the results over the co-op channel.

Replacing the standard downlink with a networking module is a big step towards autonomy of each UAV. With this adaptive communication solution it is possible to set up an expandable network of UAVs. The implemented channels provide communication links between all subscribers in the net.

5.3 UAV Control Software

A multi-agent system architecture was designed to implement team collaboration. In this framework, the individual entities in a team of UAVs are represented by

software agents. The agents implement the properties and logic of their physical counterparts.

An agent is “...any entity that can be viewed as perceiving its environment through sensors and acting upon its environment through effectors” [12].

Incorporating that “An agent is a computer system, situated in some environment, that is capable of flexible autonomous action in order to meet its design objectives” [7], a multi-agent system seems to perfectly meet the challenges of realizing an intelligent swarm of autonomous UAVs.

Software agents are computational systems that inhabit some complex dynamic environment, sense and act autonomously in this environment, and by doing so, realize a set of goals or tasks for which they are designed [9]. Hence, they meet the major requirements for a suitable architectural framework: to support the integration and cooperation of autonomous, context-aware entities in a complex environment.

The agent-based approach allows a natural system modelling approach facilitating the integration of flight platforms, sensors, actuators and services. The core-agents of the multi-agent system presented in this paper are based on the following three agent classes:

- Teamleader Agent: A team leader agent controls a group of agents consisting of at least one agent. It coordinates higher tasks and assigns sub-tasks to team members. A team leader is always aware of the positions and capabilities of all team members. A team leader itself can be controlled by a superordinate team leader.
- Copter Agent: A copter agent represents an individual drone and models the general characteristics of a quadrocopter. Each copter agent is assigned to a team leader on initialization. The corresponding team leader agent can access status data of the copter agent, such as the drone’s current position.
- Sensor Agent: A sensor agent represents a sensor and is assigned to a copter agent. It implements the properties of the corresponding sensor.

Concrete implementations of these abstract classes are realized by combining a copter agent with a sensor agent. For example, an IR-Camera-Copter agent represents a quadcopter with an infrared camera. It is an aggregation of a copter agent and an IR-Camera agent. Both agents implement the concrete properties of the entities they represent.

Furthermore, a Communication Agent is responsible for the communication between agents. It implements the underlying communication protocol and network settings.

6 Simulation and Evaluation

In order to assess different cooperation strategies for teams of UAVs, a simulation tool has been developed. Modeling and visualization of scenarios was done using a computer game engine with corresponding editing tools. An interface to the engine has been implemented. It allows full control of the implemented entities as well as feedback from the virtual world.



Fig. 7 A simulation environment for evaluation of cooperation strategies

An example scenario that simulates an intrusion has been realized (see Fig. 7). Besides the UAVs and the actors in the scenario, also sensors have been modeled. Different kinds of sensors such as motion detectors, cameras, ultra sonic or LIDAR (light detection and ranging) sensors can be modeled with their specific characteristics. The simulation tool can determine if an object lies within the range of a sensor. This helps evaluate and optimize the use of different sensing techniques.

The intelligence of team members is implemented in software agents as described in the previous section. They interface with the simulation engine using the same control command interface as the actual quadcopters. That way, the simulation can be transferred to the real world without changes to the agents.

7 Conclusion and Future Work

Forming teams of micro UAVs opens up a vast array of new application fields. However, the coordination of multiple drones requires advanced control strategies and an extended degree of autonomy of the individual UAVs.



Fig. 8 A first swarm up in the air

Our approach is to equip commercial micro drones with “smart” cameras that control the UAVs. The drones are integrated into a modular sensor network whose central part is an adaptable ground control station. An adaptive communication infrastructure has been presented that provides communication links between all drones and the ground control station.

Currently, we use a simulation tool to test and evaluate different team collaboration strategies, sensor techniques, as well as collision avoidance and path planning algorithms. In the future, we will raise the level of autonomy by implementing vision algorithms on the camera. Possible capabilities range from tracking of objects to the detection of suspicious behavior.

Additionally we will transfer the up to now in the simulation validated collaboration strategies into the real world. The technical adaptations for this are far progressed. The proof of the functionality of the developed agent-based swarm under real conditions is still pending.

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